
**CSci530: Computer Security Systems
Authorization
29 October 2003**

Dr. Clifford Neuman
University of Southern California
Information Sciences Institute

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

Administrative

- Plan to have mid-terms available next Wednesday.
- Most proposals replied to. If you do not have a response by tomorrow morning, send a follow-up message to csci530@usc.edu.

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

Authorization

- Final goal of security
 - Determine whether to allow an operation.
- Depends upon
 - Policy
 - Possibly authentication
 - Other characteristics

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

The role of policy in security architecture

Policy – Defines what is allowed and how the system and security mechanisms should act.

Enforced By

Mechanism – Provides protection interprets/evaluates (firewalls, ID, access control, confidentiality, integrity)

Implemented as:

Software: which must be implemented correctly and according to sound software engineering principles.

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

2

Policy: Review – The Access Matrix

- Policy represented by an Access Matrix
 - Also called Access Control Matrix
 - One row per object
 - One column per subject
 - Tabulates permissions
 - But implemented by:
 - Row – Capability list
 - Column – Access Control List

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

Policy models: Bell-LaPadula

- Discretionary Policy
 - Based on Access Matrix
 - Mandatory Policy
 - Top Secret, Secret, Confidential, Unclassified
 - * Property: S can write O if and only if Level S \leq Level O
 - Write UP, Read DOWN
 - Categories treated as levels
 - Form a matrix
- (more models later in the course)

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

Security is more than mix of point solutions

- Today's security tools work with no coordinated policy
 - Firewalls and Virtual Private Networks
 - Authentication and Public Key Infrastructure
 - Intrusion Detection and limited response
- We need better coordination
 - Intrusion response affected at firewalls, VPN's and Applications
 - Not just who can access what, but policy says what kind of encryption to use, when to notify ID systems.
- Tools should implement coordinated policies
 - Policies originate from multiple sources
 - Policies should adapt to dynamic threat conditions
 - Policies should adapt to dynamic policy changes triggered by activities like September 11th response.

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

4

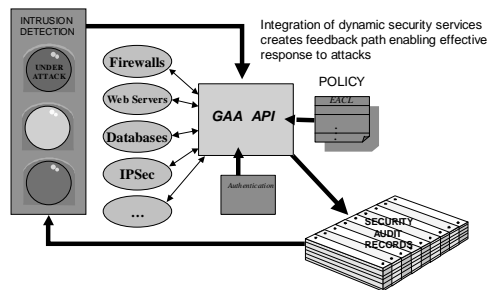
GAA-API: Integration through Authorization

- Focus integration efforts on authorization and the management of policies used in the authorization decision.
 - Not really new - this is a reference monitor.
 - Applications shouldn't care about authentication or identity.
 - Separate policy from mechanism
 - Authorization may be easier to integrate with applications.
 - Hide the calls to individual security services
 - E.g. key management, authentication, encryption, audit

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

6

Authorization and Integrated Security Services



Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

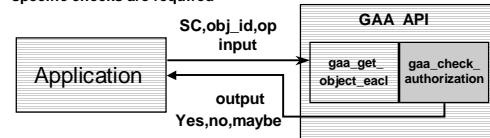
7

Generic Authorization and Access-control API

Allows applications to use the security infrastructure to implement security policies.

`gaa_get_object_policy_info` function called before other GAA API routines which require a handle to object EACL to identify EACLs on which to operate. Can interpret existing policy databases.

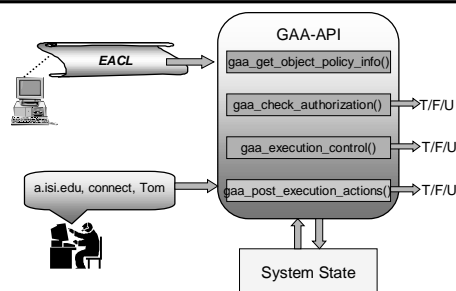
`gaa_check_authorization` function tells application whether requested operation is authorized, or if additional application specific checks are required



Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

9

Three Phases of Condition Evaluation



Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

10

GAA-API Policies originate from multiple sources

- Discretionary policies associated with objects
 - Read from existing applications or EACLs
- Local system policies merged with object policies
 - Broadening or narrowing allowed access
- Policies imported from policy/state issuers
 - ID system issues state credentials, These credentials may embed policy as well.
- Policies embedded in credentials
 - These policies attach to user/process credentials and apply to access by only specific processes.
- Policies evaluated remotely
 - Credential issuers (e.g. authentication and authorization servers) evaluate policies to decide which credentials to issue.

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

8

Communicating threat conditions

Threat Conditions and New Policies carried in signed certificates

- Added info in authentication credentials
- Threat condition credential signed by ID system

Base conditions require presentation or availability of credential

- Matching the condition brings in additional policy elements.

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

11

Integrating security services

The API calls must be made by applications.

- This is a major undertaking, but one which must be done no matter how one chooses to do authorization.

These calls are at the control points in the app

- They occur at auditable events, and this is where records should be generated for ID systems
- They occur at the places where one needs to consider dynamic network threat conditions.
- Adaptive policies use such information from ID systems.
- They occur at the right point for billable events.

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

12

Advances Needed in Policy

- Ability to merge & apply policies from many sources
 - Legislated policies
 - Organizational policies
 - Agreed upon constraints
- Integration of Policy Evaluation with Applications
 - So that policies can be uniformly enforced
- Support for Adaptive Policies is Critical
 - Allows response to attack or suspicion
- Policies must manage use of security services
 - What to encrypt, when to sign, what to audit.
 - Hide these details from the application developer.

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

GAA - Applications and other integration

- Web servers - apache
- Grid services - globus
- Network control - IPsec and firewalls
- Remote login applications - ssh
- Trust management
 - Can call BYU code to negotiate credentials
 - Will eventually guide the negotiation steps

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

13

What dynamic policies enable

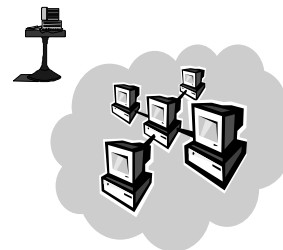
- **Dynamic policy evaluation enables response to attacks:**
 - Lockdown system if attack is detected
 - Establish quarantines by changing policy to establish isolated virtual networks dynamically.
 - Allow increased access between coalition members as new coalitions are formed or membership changes to respond to unexpected events.

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

14

Demo Scenario - LockDown

- ❖ You have an isolated local area network with mixed access to web services (some clients authenticated, some not).

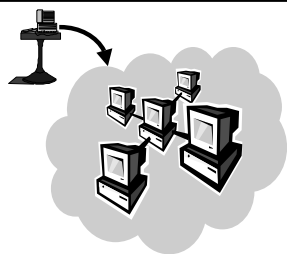


Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

15a

Demo Scenario - LockDown

- ❖ You have an isolated local area network with mixed access to web services (some clients authenticated, some not).
- ❖ You need to allow incoming authenticated SSH or IPsec connections.

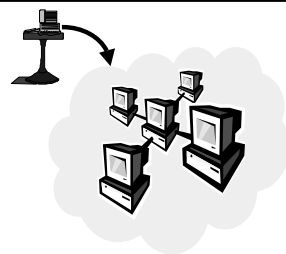


Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

15b

Demo Scenario - LockDown

- ❖ You have an isolated local area network with mixed access to web services (some clients authenticated, some not).
- ❖ You need to allow incoming authenticated SSH or IPsec connections.
- ❖ When such connections are active, you want to lock down your servers and require stronger authentication and confidentiality protection on all accesses within the network.



Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE


15c

Proxies

- A proxy allows a second principal to operate with the rights and privileges of the principal that issued the proxy
 - Existing authentication credentials
 - Too much privilege and too easily propagated
- Restricted Proxies
 - By placing conditions on the use of proxies, they form the basis of a flexible authorization mechanism

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

Restricted Proxies



- Two Kinds of proxies
 - Proxy key needed to exercise bearer proxy
 - Restrictions limit use of a delegate proxy
- Restrictions limit authorized operations
 - Individual objects
 - Additional conditions

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

Policies

- HIPAA, other legislation
- Privacy statements
- Discretionary policies
- Mandatory policies (e.g. classification)
- Business policies

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

16

Mechanisms

- Access Matrix
 - Access Control List
 - Capability list
- Unix file system
- Andrew file system
- SSH authorized key files
- Restricted proxies, extended certificates
- Group membership
- Payment

Copyright © 1995-2003 Clifford Neuman - UNIVERSITY OF SOUTHERN CALIFORNIA - INFORMATION SCIENCES INSTITUTE

16

Summary

- **Policies naturally originate in multiple places.**
- **Deployment of secure systems requires coordination of policy across countermeasures.**
- **Effective response requires support for dynamic policy evaluation.**
- **Such policies can coordinated the collection of data used as input for subsequent attack analysis.**